

04-02-01

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 30914/7:1

First Inventor Uli Behringer

Title AUDIO CIRCUITRY FOR DYNAMIC . . .

Express Mail Label No. EL414489559US

1997 U.S. PTO 06/09/06

03/29/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 10]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 3]
a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
b. ☐ (for continuation/divisional with Box 18 completed)
6. ☒ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63 (d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information Examiner: _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 3528 or ☒ Correspondence address below

| | | | | |
|-------------------|-------------------------------|-----------|-----------------------------------|------------------|
| Name | Richard B. Preiss | | | |
| Address | Stoel Rives LLP | | | |
| | 900 SW Fifth Ave., Suite 2600 | | | |
| City | Portland | State | OR | Zip Code 97204 |
| Country | USA | Telephone | 503.224.3380 | Fax 503.220.2480 |
| Name (Print/Type) | Richard B. Preiss | | Registration No. (Attorney/Agent) | 36,640 |
| Signature | <i>Richard B. Preiss</i> | | Date | 3/29/01 |

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**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|-----------------------|
| Application Number | |
| Filing Date | Concurrently herewith |
| First Named Inventor | Uli Behringer |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No | 309147:1 |

TOTAL AMOUNT OF PAYMENT (\$ 710.00)

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

| | |
|------------------------|-----------------|
| Deposit Account Number | 19-4455 |
| Deposit Account Name | Stoel Rives LLP |

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
-
- ☐
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | Small Entity | Fee | Fee | Fee Description | Fee Paid |
|--------------|--------------|------------------------|-----|-----------------|----------|
| Code (\$) | Code (\$) | | | | |
| 101 710 | 201 355 | Utility filing fee | | | 710 |
| 106 320 | 206 160 | Design filing fee | | | |
| 107 490 | 207 245 | Plant filing fee | | | |
| 108 710 | 208 355 | Reissue filing fee | | | |
| 114 150 | 214 75 | Provisional filing fee | | | |

SUBTOTAL (1) (\$ 710)

2. EXTRA CLAIM FEES

| | | | | | | |
|--------------------|-----------|---|----------------|----|---|---|
| Total Claims | -20** = 0 | X | Fee from below | 18 | = | 0 |
| Independent Claims | -3** = 0 | X | | 80 | = | 0 |
| Multiple Dependent | | | | | = | 0 |

| Large Entity | Small Entity | Fee | Fee | Fee Description |
|--------------|--------------|--|-----|-----------------|
| Code (\$) | Code (\$) | | | |
| 103 18 | 203 9 | Claims in excess of 20 | | |
| 102 80 | 202 40 | Independent claims in excess of 3 | | |
| 104 270 | 204 135 | Multiple dependent claim, if not paid | | |
| 109 80 | 209 40 | ** Reissue independent claims over original patent | | |
| 110 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | | |

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | Small Entity | Fee | Fee | Fee Description | Fee Paid |
|---------------------------|--------------|--|-----|-----------------|----------|
| Code (\$) | Code (\$) | | | | |
| 105 130 | 205 65 | Surcharge - late filing fee or oath | | | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| 139 130 | 139 130 | Non-English specification | | | |
| 147 2,520 | 147 2,520 | For filing a request for ex parte reexamination | | | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | | | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | | | |
| 115 110 | 215 55 | Extension for reply within first month | | | |
| 116 390 | 216 195 | Extension for reply within second month | | | |
| 117 890* | 217 445 | Extension for reply within third month | | | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | | | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | | | |
| 119 330 | 219 155 | Notice of Appeal | | | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | | | |
| 121 270 | 221 135 | Request for oral hearing | | | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | | | |
| 140 110 | 240 55 | Petition to revive - unavoidable | | | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | | | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | | | |
| 143 440 | 243 220 | Design issue fee | | | |
| 144 600 | 244 300 | Plant issue fee | | | |
| 122 130 | 122 130 | Petitions to the Commissioner | | | |
| 123 50 | 123 50 | Petitions related to provisional applications | | | |
| 126 240 | 126 240 | Submission of information Disclosure Stmt | | | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | | | |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | | | |
| 169 900 | 169 900 | Request for expedited examination of a design application | | | |
| Other fee (specify) _____ | | | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY**Complete (if applicable)**

| | | | | | |
|-------------------|--------------------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | RICHARD B. PREISS | Registration No. (Attorney/Agent) | 36,640 | Telephone | 503.224.3380 |
| Signature | <i>Richard B. Preiss</i> | Date | 3/29/01 | | |

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Application No.:
Filed: Concurrently Herewith
Inventor: Uli Behringer and Jan Duwe
Title: **AUDIO CIRCUITRY FOR DYNAMICS REDUCTION**
Attorney Docket No.: 30914/7:1

CERTIFICATE OF EXPRESS MAILING

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Date of Deposit March 29, 2001

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20231


Signature

SANDRA LEKAR
Typed or printed name of person signing
certificate

Attachment:

- [X] Utility Patent Application Transmittal, 10 pages of specification and claims, 3 sheets of drawings, Combined Declaration and Power of Attorney for Patent Application, Patent Application Fee Determination Record, Fee Transmittal (in duplicate), Data Sheet, check for \$710 in payment of filing fee, Information Disclosure Statement, PTO-1449 (with reference) and return receipt postcard.